

# FAIS Disclosure Statement

<b>Registered name</b>	Sanlam Investment Management (Pty) Ltd	
<b>Registration number</b>	1967/011973/07	
<b>FSP number</b>	579	
<b>Telephone</b>	+27 (0) 21 901-1000	
<b>Physical address</b>	36 Hans Strijdom Avenue, Foreshore, Cape Town, 8001	
<b>Registered address</b>	36 Hans Strijdom Avenue, Foreshore, Cape Town, 8001	
<b>Email address</b>	fais.complianceofficer@ninetyone.com	
<b>Web address</b>	<a href="http://www.sanlaminvestments.com/our-businesses/active-management/">www.sanlaminvestments.com/our-businesses/active-management/</a>	
<b>Key individuals</b>	Horatius Maluleka, Natasha Narsingh, Gerhardus Cruywagen	
<b>Compliance department</b>	Compliance Department Stephan Kemp Tel: +27 21 901 1509 Email: <a href="mailto:fais.complianceofficer@ninetyone.com">fais.complianceofficer@ninetyone.com</a>	
<b>Products approved</b>	<b>Category I (Advice/Intermediary)</b> <ul style="list-style-type: none"> <li>- Shares</li> <li>- Money market instruments</li> <li>- Debentures, securitised debt</li> <li>- Warrants, certificates</li> <li>- Bonds</li> <li>- Derivative instruments</li> <li>- PI in collective investment schemes</li> <li>- Long- and short-term deposits</li> <li>- Structured deposits</li> <li>- PI in hedge funds</li> </ul>	<b>Category II</b> <ul style="list-style-type: none"> <li>- Long-term insurance Category C</li> <li>- Shares</li> <li>- Money market instruments</li> <li>- Debentures, securitised debt</li> <li>- Warrants, certificates</li> <li>- Bonds</li> <li>- Derivative instruments</li> <li>- PI in collective investment schemes</li> <li>- Long- and short-term deposits</li> <li>- Structured deposits</li> <li>- PI in hedge funds</li> </ul> <b>Category IIA</b> <ul style="list-style-type: none"> <li>- General</li> <li>- PI in hedge funds</li> <li>- Structured deposits</li> </ul>

Representatives				
Achumile Mashalaba	David Murray-Smith	Lisa MacLeod	Shamier Kahn	
Adam Furlan	Dawid Pieter De Villiers Heyl	Luqman Hamid	Sizwe Mhlongo	
Alastair Herbertson	Donovan van den Heever	Lumka Ngqola - Sebone	Siphelele Mhlongo	
Alessandro Dicorrado	Duane Cable	Malcolm Charles	Siphokazi Ngonyama	
Alex Holroyd- Jones	Fayyaz Mottiar	Mandilakhe Daba	Steven Loubser	
Andrew Joannou	Fernando Durrell	Marlo Scholtz	Stephen Naidoo	
Andrew Kingston	Gail Daniel	Marvin Hagglund	Sumesh Chetty	
Andrew Snowdowne	Grant Irvine-Smith	Melville Du Plessis	Terry Seaward	
Aneesha Fakee	Hannes van der Berg	Mokgatla Madisha	Thabo Khojane	
Ann-Maree Tippoo	Horatius Tinyiko Maluleka	Nathaniel Micklem	Trevor Ngubane	
Antonie de Klerk	Ian Deacon	Natalie Phillips	Trisha Rahman	
Bashier Omar	James Turp	Natasha Narsingh	Tsitsi Hatenda-Matika	
Bruce Simpson	Jasmine Lin	Nicholas Adrianatos	Vanessa van Vuuren	
Clyde Rossouw	Jason Borbora-Sheen	Peter Kent	Vivienne Taberer	
Dale Hutcheson	Jelene Meintjes	Ralph Thomas	Yaser Survé	
Daniel Sacks	John Biccard	Rehana Khan		
Darren Jocum	John Stopford	Rehana Rungasamy		
David Curry	John McNab	Ruen Naidu		
David Jonathan Knee	Kim Haupt	Rhynhardt Roodt		
	Lauren Ledger - Evans	Roy Mutooni		
		Samantha Hartard		

**Other information** Sanlam Investment Management (Pty) Ltd (SIM) is committed to compliance with the Financial Advisory and Intermediary Services Act, 2002. SIM forms part of the wider Ninety One group of companies which includes other authorised financial services companies and has contractual relationships with some of these entities. SIM confirms that service contracts are in place with its registered representatives and accepts responsibility for those activities performed by its representatives that fall within the scope of providing financial advice and/or intermediary services on behalf of SIM. SIM has professional indemnity insurance in place and confirms that all key individuals and representatives meet the fit and proper requirements of FAIS.

**Complaints Resolution Procedure** <https://ninetyone.com/-/media/documents/miscellaneous/91-global-complaints-handling-procedure-en.pdf>

**Conflicts of Interest Management Policy** <https://ninetyone.com/-/media/documents/mifid-ii/91-conflicts-of-interest-policy-external-en.pdf>

