

FAIS Disclosure Statement

Registered name	Ninety One Investment Management (Pty) Ltd	
Registration number	1967/011973/07	
FSP number	579	
Telephone	+27 (0) 21 901-1000	
Postal address	PO Box 1655, Cape Town, 8000	
Physical address	36 Hans Strijdom Avenue, Foreshore, Cape Town, 8001	
Email address	fais.complianceofficer@ninetyone.com	
Web address	www.sanlaminvestments.com/our-businesses/active-management/	
Key individuals	Horatius Maluleka, Natasha Narsingh and Gerhardus Cruywagen	
Compliance department	Compliance Department Stephan Kemp Tel: +27 21 901 1509 Email: fais.complianceofficer@ninetyone.com	
Products approved	Category I (Advice/Intermediary) - Shares - Money market instruments - Debentures, securitised debt - Warrants, certificates - Bonds - Derivative instruments - Participatory interest in collective investment scheme - Long-term Deposits - Short-term Deposits - Structured deposits - Participatory interest in hedge funds	Category II - Shares - Money market instruments - Debentures, securitised debt - Warrants, certificates - Bonds - Derivative instruments - Participatory interest in one or more collective investment schemes - Long-terms Deposits - Short-term Deposits - Structured deposits - Participatory interest in a hedge fund Category IIA - Structured Deposits - Participatory interest in a hedge fund - General Category IIA experience

Representatives	Achumile Mashalaba	David Murray-Smith	Lauren Ledger - Evans	Rhynhardt Roodt
	Adam Furlan	Dawid Heyl	Lisa MacLeod	Samantha Hartard
	Alessandro Dicorradro	Debra Slabber	Luqman Hamid	Shamier Khan
	Andrew Kingston	Donovan van den Heever	Lumka Ngqola - Sebone	Siphelele Mhlongo
	Andrew Snowdowne	Duane Cable	Malcolm Charles	Siphokazi Ngonyama
	Aneesa Fakee (Services under Supervision)	Fernando Durrell	Marlo Scholtz	Steven Loubser
	Ann-Maree Tippoo	Gail Daniel	Marvin Hagglund	Stephen Naidoo
	Antonie de Klerk	Grant Irvine-Smith	Melville Du Plessis	Sumesh Chetty
	Bashier Omar	Horatius Maluleka	Mokgatla Madisha	Terry Seaward
	Bruce Simpson	Ian Deacon	Nathaniel Micklem	Thabo Khojane
	Clyde Rossouw	James Peter Turp	Natalie Phillips	Thanzi Ramukosi
	Daniel Sacks	Jasmine Lin	Natasha Narsingh	Trevor Ngubane
	David Curry	Jason Borbora-Sheen	Nicholas Adrianatos	Trisha Rahman
	David Jonathan Knee	Jelene Meintjes	Peter Kent	Tshiphiri Muedi
		John Biccard	Pieter Adriaan Fourie	Tsitsi Hatendi-Matika
		John Stopford	Ralph Paul Thomas	Vanessa van Vuuren
		John McNab	Rehana Khan	Vivienne Taberer
		Kim Haupt	Rehana Rungasamy	Yaser Survé
			Ruen Naidu	

Other information	Ninety One Investment Management (Pty) Ltd (Ninety One Investment Management) is committed to compliance with the Financial Advisory and Intermediary Services Act, 2002. Ninety One Investment Management is an authorised financial services provider (FSP 579), authorised to render the financial services set out above subject to the conditions and restrictions contained in its license, a copy of which is available on request. Ninety One Investment Management forms part of the wider Ninety One group of companies which includes other authorised financial services companies and has contractual relationships with some of these entities. Ninety One Investment Management confirms that service contracts are in place with its registered representatives and accepts responsibility for those activities performed by its representatives that fall within the scope of providing financial advice and/or intermediary services on behalf of Ninety One Investment Management. Ninety One Investment Management holds professional indemnity and fidelity insurance cover and does not hold any guarantees. Ninety One Investment Management confirms that all key individuals and representatives meet the fit and proper requirements of FAIS.
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Complaints Resolution Procedure	https://ninetyone.com/-/media/documents/miscellaneous/91-global-complaints-handling-procedure-en.pdf
Conflicts of Interest Management Policy	https://ninetyone.com/-/media/documents/mifid-ii/91-conflicts-of-interest-policy-external-en.pdf

