

Ninety One Global Strategy Fund

Identification Refresh form



Please print clearly in **BLOCK CAPITALS** and return as instructed in Section 8.

This form is for existing individual investors of the Ninety One Global Strategy Fund (the Fund) to refresh the identification information held by the Fund in accordance with Anti-Money Laundering and Counter Terrorist Financing (AML/CTF) rules in Luxembourg.

1. Investor details

Account number _____

Principal named investor _____

Title _____ Surname _____

Maiden name _____ First name(s) _____

Work telephone _____ Home telephone _____

Mobile number _____ Fax number _____

Email address _____

Occupation (if retired, please state main occupation during working life) _____

Employer (if retired, please state main employer during working life) _____

Date of birth DD / MM / YYYY _____ Passport/ID no. _____

Nationality(ies) _____ Marital status _____

City of birth _____ Country of birth _____

Permanent residential address (PO Box will not be accepted) _____

Postcode _____ Country _____

Mailing address (if different from above) _____

Postcode _____ Country _____

Joint investor (if applicable) _____

Title _____ Surname _____

Maiden name* _____ First name(s) _____

Work telephone _____ Home telephone _____

Mobile number _____ Fax number _____

Email address _____

Occupation (if retired, please state main occupation during working life) _____

Employer (if retired, please state main employer during working life) _____

Date of birth DD / MM / YYYY _____ Passport/ID no. _____

| | |
|---|------------------|
| Nationality(ies) | |
| City of birth | Country of birth |
| Marital status | |
| Permanent residential address (PO Box will not be accepted) | |
| | |
| Postcode | Country |
| Mailing address (if different from above) | |
| | |
| Postcode | Country |

If more than two joint holders, please provide the same details in Section 1 for each additional holder on a separate sheet.

2. Beneficial ownership and source of funds

I/we confirm that I am (we are) the Ultimate Beneficial Owner(s) of the investment in this Account. A beneficial owner is an individual who ultimately owns, controls or stands to benefit from 25% or more of the investment.

I/we confirm that the investments in the above-mentioned Account number:

- Are made on my/our own behalf
- Are not in favour of a third party
- Are not derived from criminal activity and in particular not from money laundering activities
- Do not serve to support criminal activities or terrorism, and
- Originate from the following source(s) (tick all boxes that apply below).

Please provide supporting evidence, as listed below. If no evidence is available due to the time passed since you made your investment, please let us know.

Employment - Please provide a copy of a recent salary slip or Income Tax assessment.

Annual salary, including bonus payments

Inheritance - Please provide copy of the Will/distribution. statement.

Name of the deceased

Relation to the deceased

Amount received

Date inheritance received

DD / MM / YYYY

Sale of real estate - Please provide a relevant deed or valuation statement.

Year of sale YYYY

Number of properties: Singular

Multiple

Type of property: Corporate

Residential

Countries

Estimated total value

Sale of securities - Please provide recent brokerage statement.

Types of securities

Year of sale YYYY

Estimated total value

Other - Please describe and provide evidence

Country of origin of funds: (you must select one of the below)

Country of residence

Other (please specify)

Frequency of trading, including subscriptions, conversions and redemptions: (you must select one of the below)

Single transaction

Monthly

Annually

Ad-hoc

Please confirm your intended total volume/size of account in EUR.

<10,000

10,000 - 50,000

50,001 - 150,000

150,001 - 500,000

>500,000, please specify amount:

3. Source of wealth

We require confirmation of the source(s) from which you have accumulated your total net worth, not just the money you have invested with Ninety One.

Please complete any of the following categories from which your wealth has been accumulated and provide supporting evidence of the source(s) selected. Should your overall wealth have been generated and accumulated from multiple sources over time, please complete each relevant category. Each account holder must complete this declaration and provide supporting evidence.

Principal named investor

Same as source of funds in section 2. Please provide supporting evidence as listed below.

Employment - Please provide a copy of a recent salary slip or Income Tax assessment.

Annual salary, including bonus payments

Inheritance - Please provide copy of the Will/distribution statement.

Name of the deceased

Relation to the deceased

Amount received

Date inheritance received

DD / MM / YYYY

Sale of real estate - Please provide a relevant deed or valuation statement.

Number of properties: Singular

Multiple

Type of property: Corporate

Residential

Country(ies)

Estimated total value

Sale of securities - Please provide recent brokerage statement.

Types of securities

Estimated total value

Other - Please describe and provide evidence

Please confirm the main country(ies) of the origin of the source(s) of wealth

Joint investor (if applicable)

Same as source of funds in section 2. Please provide supporting evidence as listed below.

Employment - Please provide a copy of a recent salary slip or Income Tax assessment.

Annual salary, including bonus payments

Inheritance - Please provide copy of the Will/distribution statement.

Name of the deceased

Relation to the deceased

Amount received

Date inheritance received

DD / MM / YYYY

Sale of real estate - Please provide a relevant deed or valuation statement.

Number of properties: Singular

Multiple

Type of property: Corporate

Residential

Country(ies)

Estimated total value

Sale of securities - Please provide recent brokerage statement.

Types of securities

Estimated total value

Other - Please describe and provide evidence

Please confirm the main country(ies) of the origin of the source(s) of wealth

4. Politically Exposed Persons declaration

Please confirm if you or any joint holder is/are any of the following. Please tick all that apply:

A person holding a legislative, administrative or judicial office, whether appointed or elected

Yes

No

A person exercising a public function, including for a public agency or public enterprise

Yes

No

An official or agent of a public international organisation

Yes

No

A person or company manifestly close to or connected with the above persons

Yes

No

If yes, please describe:

5. Bank account details for subscriptions, redemptions and income payments

Redemption proceeds and dividend distribution payments will be made in the base currency of the share class to the bank details quoted below, unless an alternate preferred currency is selected below.

Alternate preferred currency for receipt of payments, if a currency other than base currency is required (please tick box below):

AUD CHF EUR GBP HKD SGD USD

(AUD/CHF/HKD/SGD is only available for certain share classes; please refer to the Prospectus. **Please note that the South African rand is not available as a currency for settlement.**

| | |
|------------------|----------------|
| Name of bank | |
| Address | |
| Branch sort code | Account number |
| Account name(s) | |
| BIC/SWIFT code | IBAN number |

6. Tax residency self-certification

Tax Regulations¹ require us to collect information about each investor's tax residency². In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with the Administration des Contributions Directes (the Luxembourg tax authority) who may in turn share this information with any or all participating tax jurisdictions³. If you have any questions about your tax residency², please contact your tax advisor. Should any information provided change in the future, please ensure you advise us of the changes promptly.

For further information, please see the OECD Automatic Exchange Portal <https://www.oecd.org/tax/automatic-exchange>.

I declare I am not a US person and am not eligible to pay tax in the US

Please indicate all countries in which you are tax resident and your associated Tax Identification Number(s) (TIN)⁴ (if applicable) in the table below.

| Principal named investor | Country(ies) of tax residency | Tax identification number |
|--------------------------------|-------------------------------|---------------------------|
| | | |
| | | |
| Joint investor (if applicable) | Country(ies) of tax residency | Tax identification number |
| | | |
| | | |

If more than two joint holders, please provide the same details above for each additional holder on a separate sheet. If a TIN is not available, please select one of the following reasons for not having a TIN. Please tick only one box.

The investor is not required to be registered for tax (even though income tax is levied by the jurisdiction)

No TIN is issued by the jurisdiction (because income tax is not levied by the jurisdiction)

The TIN is not required to be reported by such jurisdiction under local law

Tax residency explanatory notes

1. The term "tax regulations" refers to the Luxembourg regulations created to enable automatic exchange of information and includes Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS).
2. In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although different jurisdictions have different rules in relation to tax residency. If in doubt, please contact your tax advisor.
3. Those countries that have agreed to exchange information under FATCA and the CRS.
4. TIN is usually your tax number but in some countries may be your National Insurance or Social Security Number.

7. Declarations

Please read the following carefully. All investors must then sign and date this Identification Refresh Form. By signing below you are making the following declarations:

- I am/we are over the age of eighteen years and over the age of majority under the legislation of my/our country of residence.
- I/we understand that telephone calls to the Fund and its delegates may be recorded.
- In accordance with anti-money laundering requirements, I/we hereby consent to Ninety One making reasonable enquiries for the purpose of verifying the information disclosed and consent to Ninety One obtaining information about me/us.
- If this Form is signed by an Attorney, it must be accompanied by the original Power of Attorney or a certified copy thereof.
- I/we acknowledge that the provisions of applicable laws on protection of persons with regard to processing of personal data, as amended will apply to this investment. I/we hereby consent to my/our personal information being transferred to countries which ensure an adequate level of protection is complied with and to other countries including Canada, United States of America, Hong Kong, South Africa, Malaysia, Taiwan and Singapore, which may not have data protection laws as comprehensive as the applicable EU laws.
- Applicable only to investors who are PRC-based natural persons/PRC residents; PRC means the People's Republic of China.
 - I/we consent to the information provided as part of this form being treated to serve the purpose disclosed in this form and in accordance with the Privacy Notice in Simplified Chinese (available at <https://ninetyone.com/-/media/documents/miscellaneous/91-prc-privacy-notice-szh.pdf>).
 - Simplified Chinese translation of the above declaration: 们内简隐载 <https://ninetyone.com/-/media/documents/miscellaneous/91-prc-privacy-notice-szh.pdf>
- I/we confirm that the information provided by me/us is true and correct including information which has already been provided to the Fund and I/we undertake to promptly notify you should any of this information provided by me/us change.
- I/we agree that the information provided as part of this form, will be treated in accordance with the Privacy Notice (available at www.ninetyone.com).
- I/we declare that the information provided in Section 5 tax residency self-certification is, to the best of my knowledge and belief accurate and complete. I undertake to advise Ninety One within 30 days and provide an updated Self-Certification Form (available from www.ninetyone.com), where any change in circumstances occurs, which causes any of the information contained in Section 5 to be incorrect.
- I/we acknowledge that, in case the Country(ies) of Tax Residence listed in Section 5 is/are CRS Reportable Jurisdiction(s), the information disclosed in this section together with required information related to my financial account (as described in Annex I Section I of "Loi du 18 décembre 2015 relative à la Norme Commune de Déclaration (NCD)", hereafter "the Luxembourg CRS law") will be reported to the Luxembourg tax authorities or any other authorized delegates under Luxembourg law, and subsequently exchanged with the tax authorities of the CRS Reportable Jurisdiction(s), pursuant to international agreements to exchange financial account information. I/we acknowledge that, as per Article 5 of the Luxembourg CRS law, answering questions related to the information disclosed in Section 5 is mandatory. Upon request I/we will have access to the data concerning me/us that are disclosed to the Luxembourg tax authorities, and I/we will be in a position to rectify any of my personal data. The data collected will not be kept longer than necessary for the purpose of the Luxembourg CRS law.
- I/we agree that neither the Fund or its delegates shall be liable (i) for the fraudulent use by a third party of my/our signature(s) or my/our authorised representative(s) signature(s), whether such signature(s) be authentic or forged; (ii) or for any instructions (whether submitted in writing or, where permissible, by telephone, fax or other electronic means) purportedly from me/us which are fraudulent. Should the Fund (including its delegates) not identify the fraudulent use of such authentic or forged signature(s) or such fraudulent instructions, and effects transactions on the basis of such documents or instructions, the Fund (including its delegates) shall, except in cases where it has failed to exercise reasonable care in the verification of any signature or instruction, be released from any liability. The Fund shall, in such circumstances, be considered as having made a valid payment or performed a valid instruction, as if it had received authorised instructions from me/us.
- I/we declare that I/we am/are not a US Person as defined in the Prospectus and that I/we am/are not applying as the nominee of a person or the proxy-holder who is a US Person; that I/we have not been solicited to purchase shares while present in person in the US; that at the time I/we placed my/our order to buy shares I was/we were outside of the US; that I/we will not transfer any of the shares that I/we own or any interest therein to a US Person; if my/our underlying investors status as a non-US person should change, I/we will immediately inform the Fund. In such event, I/we agree that the Company shall be entitled to (but shall not be obligated to) redeem, or to require to me/us to sell my/our shares to a person designated by the Company.

Principal named investor signature

| | |
|--|---------------------|
| | Date DD / MM / YYYY |
|--|---------------------|

Name (please print)

Joint investor signature (if applicable)

| | |
|--|---------------------|
| | Date DD / MM / YYYY |
|--|---------------------|

Name (please print)

Please note that in the case of a joint account, all applicants will be considered as joint holders and therefore signatures of all registered joint holders are required. If more than two, please provide on a separate sheet.

If this form is signed by an authorised representative, such as those with a Power of Attorney, we may need the same identification documents detailed in the enclosed letter for that authorised representative. In addition, we will require the original or certified copy of the Power of Attorney document, Court of Protection Order, Letter of Authority or other such document.

8. Submitting this form

Review the completed form and ensure all fields have been completed.

Email the completed form and additional documents to NinetyOne-Remediation@caceis.com.
When requested, post the originals.

For Africa investors

Courier or hand deliver to

Johannesburg

Ninety One Guernsey Limited
Attn: Offshore Client Administration
155 West Street
Sandown
Sandton 2196
Johannesburg

Pretoria

Ninety One Guernsey Limited
Attn: Offshore Client Administration
Park Lane West, 197 Amarand Avenue
Menlyn Maine Precinct
Pretoria
0181

Cape Town

Ninety One Guernsey Limited
Attn: Offshore Client Administration
36 Hans Strijdom Avenue
Foreshore
Cape Town
8001

Post to

Johannesburg

Ninety One Guernsey Limited
Attn: Offshore Client Administration
PO Box 651920
Benmore 2010
Johannesburg

Questions

0860 500 900
saoffshore@ninetyone.com

For Hong Kong investors

Postal address

Ninety One Hong Kong Limited
Suites 1201 – 1206, 12/F
One Pacific Place
88 Queensway, Admiralty
Hong Kong

Questions (HK)

+852 2861 6855
hongkong@ninetyone.com

For all other investors

Postal address

Ninety One Guernsey Limited
c/o CACEIS Investor Services Bank S.A.
14, Porte de France
L-4360 Esch-sur-Alzette
Luxembourg

Questions (Global)

+44 (0)20 3938 1800
enquiries@ninetyone.com

We recommend that you retain a copy of this form for your records.